

## Maine Democratic Party

Post Office Box 5258, 12 Spruce Street, Augusta, Maine 04332-5258 Phone (207) 622-6233 FAX 622-2657 e-mail: dems@liftaihe.com43 lin '97

April 30, 1997

Andrew J. Dodson, Reports Analyst Federal Election Commission 999 E Street NW Washington, DC 20463

Identification Number:

C00179408

Dear Mr. Dodson:

Reference: 1997 October Quarterly Report

This letter is in response to you request for an amendment to the above named FEC report filed by the Maine Democratic State Committee. Attached please find the report amended as requested.

We have made corrections to the following Schedules:

Schedule A:

See below reference:

1997 30 Day Post-General Report

Schedule A

Reference: 1997-12 Day Pre-General Report

We have made corrections to the following Schedules:

Schedule A:

Clinton Gore '96 Committee's \$6,250 reported was incorrectly

recorded on the detailed summary page. Of the \$6,250

\$4,500 were contributions from Clinton/Gore '98

\$1,750 were rent payments now reflected on Line 15

Schedule H4:

We have amended the report to include;

The total EVENT-YEAR-TO-DATE amount for payments to Α.

all payees

The appropriate category boxes for payments have been В.

checked

Schedule D:

We have amended the report to reflect, a credit from BCL as a

payment in the period reported

Detailed Summary Page:

We have provided the total for line 11(d), Column B. A:

We have amended the total of 11 (a) (i) to reflect the total of B:

\$17,100

Reference: 1997 30 Day Post-General Report

We have made corrections to the following Schedules:

Schedule At

Brennan for Senate was a US Senate Campaign whose

contributions aggregate \$20,668.96. The additional 15,668.96 exceeding the limits set on for political committee of \$5,000.00 are

excess campaign funds.

Schedule H-2:

We have completed the H-2 to reflect:

Disbursements categorized as Exempt A:

Purpose of all Exempt expenditures В:

Schedule H-4:

We have included the EVENT-YEAR-TO-DATE total to all payees

Reference:

1997 Year End Report

We have made corrections to the following Schedules:

Schedule H-4:

We have included the EVENT-YEAR-TO-DATE total to all payees.

Summary Page & Detailed Summary Page:

We have adjusted the totals to reflect changes made in previous

amendments.

Schedule D:

We have amended the report to reflect the newly incurred debts

Schedule C:

We have enclosed the appropriate page supporting the \$757

previously reported

Please let us know if you need any further clarifications.

Sincerely.

James S. Bowers

Treasurer

### FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463.

RQ-2

James S. Bowers, Treasurer
Maine Democratic State Committee
P.O. Box 5258
Augusta, ME 04332

APR | 6 1997

Identification Number: C00179408

Reference: October Quarterly Report (7/1/96-9/30/96)

Dear Mr. Bowers:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(f) and 11 CFR §110.1(d) preclude a committee from receiving contributions from another political committee or person in excess of \$5,000 per calendar year.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeded the limits, you must seek reattribution of the contribution pursuant to 11 CFR §110.1(k), transfer-out the amount in excess of \$5,000 to an account not used to influence federal elections or refund the excessive amount to the donor(s) in accordance with 11 CFR §103.3(b). In the best interest of your committee, all reattributions, transfers-out, and refunds should be made within sixty days of the treasurer's receipt of the contribution(s). In order to protect the donor's interests, the Commission recommends that you inform the contributor(s) in writing to provide the donor(s) with the option of granting written authorization for a reattribution or transfer-out to another account or receiving a refund.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out or refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Andrew J. Dodson

Senior Reports Analyst

Reports Analysis Division

**SCHEDULE A** 

### ITEMIZED RECEIPTS

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James S. Bowers, Treasurer
Maine Democratic State Committee
P.O. Box 5258
Augusta, ME 04332

APR 主席 1997

Identification Number:

C00179408

Reference:

12 Day Pre-General Report (10/1/96-10/16/96)

Dear Mr. Bowers:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-On Schedule H4 supporting Line 21(a) of the Detailed Summary Page, you have failed to include the total EVENT YEAR-TO-DATE amount for a payment(s) to all payees. Please amend your report to include the missing EVENT YEAR-TO-DATE total(s).



-On Schedule H4 supporting Line 21(a) of the Detailed Summary Page, you have failed to check the appropriate CATEGORY box for the payment(s) made to numerous payees. Please amend your report to disclose the appropriate category.

D

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-The outstanding balance of a debt owed to a creditor at the close of one report should be exactly the same as the outstanding balance beginning the period of the next report. This report shows beginning balances to BCL, Atkins Pring and Manpower, which are not identical to the ending outstanding balances for these creditors on the Amended October Quarterly Report. Please amend your report to clarify these discrepancies.

-Picase provide the total(s) for Line 11(d), Column B of the Detailed Summary Page. Note that changes in your figures may affect your Column B totals on this report and/or on subsequent reports.

-Line 11(a)(i) of the Detailed Summary Page of your report discloses a total of \$16,600 in itemized contributions from individuals. The sum of the entries itemized on Schedule A, however, indicates the total to be \$17,100. Please amend your report to clarify the discrepancy.

-Schedule A of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(f) and 11 CFR §110.1(d) preclude a committee from excess of \$5,000 per calendar year.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeded the limits, you must seek reattribution of the contribution pursuant to 11 CFR §110.1(k), transfer-out the amount in excess of \$5,000 to an account not used to influence federal elections or refund the excessive amount to the donor(s) in accordance with 11 CFR §103.3(b). In the best interest of your committee, all reattributions, transfers-out, and refunds should be made within sixty days of the treasurer's receipt of the contribution(s). In order to protect the donor's interests, the Commission recommends that you inform the contributor(s) in writing to provide the donor(s) with the option of granting written authorization for a reattribution or transfer-out to another account or receiving a refund.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out or refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days

## MAINE DEMOCRATIC STATE COMMITTEE PAGE 3

of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Andrew J. Dodson

Senior Reports Analyst

Reports Analysis Division

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SCHEDULE A

#### **ITEMIZED RECEIPTS**

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### Federal Election Commission

# ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

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